

Chapter 2: Grammars

Plan

1. Definitions
2. Derivation and Generated Language
3. Derivation Tree
4. Chomsky Hierarchy

Definition

- A grammar \mathbf{G} is a quadruple $(\mathbf{V}_N, \mathbf{V}_T, \mathbf{S}, \mathbf{R})$ where:
 - \mathbf{V}_N represents a finite set called the non-terminal vocabulary.
 - \mathbf{V}_T represents a finite set called the terminal vocabulary ($\mathbf{V} = \mathbf{V}_N \cup \mathbf{V}_T$).
 - \mathbf{S} : The initial symbol or axiom, which is an element of \mathbf{V}_N .
 - \mathbf{R} is the finite set of rules, $\mathbf{R} \subseteq (\mathbf{V} \setminus \mathbf{V}_T) \times \mathbf{V}^*$, where a rule $\mathbf{r} \in \mathbf{R}$ is noted as:
 - **Left side** \rightarrow **Right side.** $\alpha(r) \rightarrow \beta(r)$

Définition

- Let $G = (\{S\}, \{0,1\}, S, \{ S \rightarrow 0S1, S \rightarrow 01 \})$.
- G is a Chomsky grammar.
- There are multiple ways to represent the rules of a Chomsky grammar.

Couples	Dérivation	BNF
(α, β)	$\alpha \rightarrow \beta$	$\alpha ::= \beta \mid \gamma$
(α, γ)	$\alpha \rightarrow \gamma$	

Notation

- When multiple production rules of a grammar share the same left-hand side, they can be factorized by separating the right-hand parts with vertical bars.
- **Example:** Instead of writing:
 - $A \rightarrow a A$ and $A \rightarrow \epsilon$, we write: $A \rightarrow a A \mid \epsilon$.

Derivation

- A word \mathbf{y} is immediately derived from a word \mathbf{x} if and only if there exists a rule \mathbf{r} and two words \mathbf{g} and \mathbf{d} from \mathbf{V}^* such that:

$$: \mathbf{x} \xrightarrow{\mathbf{r}} \mathbf{y} \quad \mathbf{x} = \mathbf{g}\alpha(\mathbf{r})\mathbf{d} \text{ et } \mathbf{y} = \mathbf{g}\beta(\mathbf{r})\mathbf{d}.$$

- Notation: \Rightarrow represents the reflexive and transitive closure of the relation \rightarrow .
- The relation \Rightarrow is called **derivation**.
- We denote \mathbf{r} as the sequence of rules allowing \mathbf{y} to be derived from \mathbf{x} .

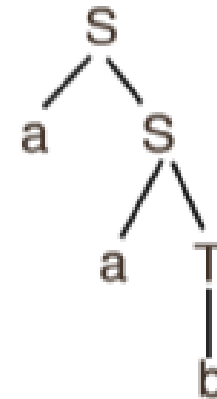
$$\mathbf{x} \xRightarrow{\hat{\mathbf{r}}} \mathbf{y}$$

Example

- Consider the grammar $G = (\{ a, b \}, \{ S, T \}, S, \{ S \rightarrow aS \mid aT, T \rightarrow bT \mid b \})$.

- It generates the words **abb** and **aab** because:

- $S \rightarrow aT \rightarrow abT \rightarrow abb$
- $S \rightarrow aS \rightarrow aaT \rightarrow aab$



- The generated language consists of all words over $\{ a, b \}$ of the form $a^m b^n$ with $m, n > 0$.

Language generated by a grammar

- The language generated by a grammar G , denoted $L(G)$, is the set of terminal words derivable from S .
- **Formally:**
 - **Example:** The word **000111** (noted as 0^31^3) is a word in the language generated by the grammar G .
 - Given two rules r_1 and r_2 :
 - 0^31^3 is derived from S by applying r_1 twice and r_2 once.

$$L(G) = \left\{ x \in V_T^* / \exists \hat{r} \in R^+, S \xRightarrow{\hat{r}} x \right\}$$

Example

- 000111 (also 0^31^3) is a word from the language generated by the grammar G of the previous example
- Let the two rules r_1 and r_2 be such that

$$\cdot \quad r_1 : S \rightarrow 0S1 \text{ et } r_2 : S \rightarrow 01.$$

- Therefore : 0^31^3 is derived from S by applying the rule r_1 twice, then applying the rule r_2 once..
- We will have: **S**

$$S \xrightarrow{r_1} 0S1 \xrightarrow{r_1} 00S11 \xrightarrow{r_2} 000111$$

Derivation tree of a word

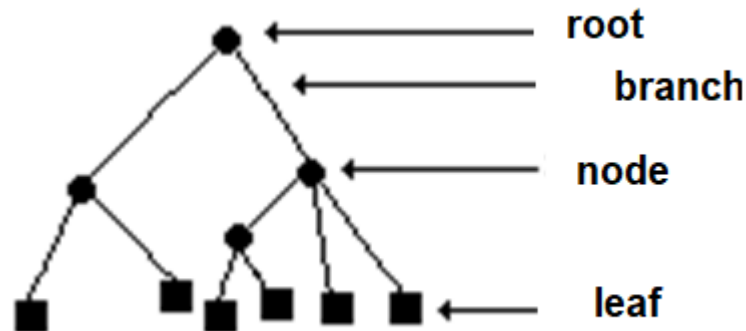
Let the grammar G , $G = (V_N, V_T, S, R)$.

A labeled tree is a 'derivation tree' in G if and only if:

- The alphabet of labels is included in V_N and V_T .
- The nodes are labeled by elements of V_N .
- The leaves are labeled by elements of V_T .
- L'étiquette de tout noeud est un élément d V_N . The label of any node is an element of V_N .
- For each node $\langle \mathbf{A}, f_1, f_2, \dots, f_n \rangle$ a rule R is associated, which has the form: $\mathbf{A} \rightarrow f_1 f_2 \dots f_n$ (derivation rule in G)

Derivation tree of a word

- A derivation tree is traditionally drawn with the root at the top. On such a drawn tree, the derived word is obtained by concatenating the labels of the leaves from left to right.
- Graphical representation of a tree



Examples

- The grammar G is as follows

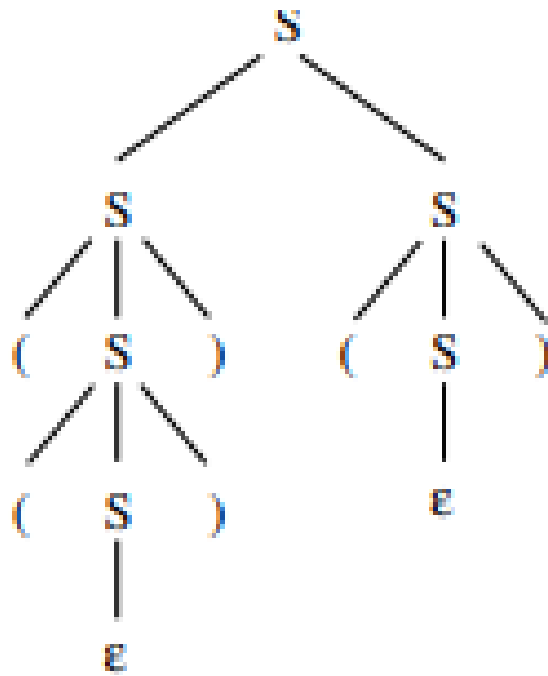
$$S \rightarrow \varepsilon, S \rightarrow SS, S \rightarrow (S)$$

- The terminal letters are (and) and S is a non-terminal letter, which is also the axiom of the grammar. For example, the word $((\))(\)$ is obtained by:

$$\begin{aligned} S \rightarrow SS &\rightarrow (S)S \\ &\rightarrow ((S))S \\ &\rightarrow ((\varepsilon))S = ((\))S \\ &\rightarrow ((\))(S) \\ &\rightarrow ((\))(\varepsilon) = ((\))(\). \end{aligned}$$

Examples

- Derivation tree of the word $((()))()$:



Different types of grammars

- A grammar is said to be of type 3 (linear, regular) if and only if every production $\alpha \rightarrow \beta$ is either of the form $A \rightarrow aB$, with a in X and A, B in N , or of the form $A \rightarrow a$.
- Formally:
 - $\forall r \in R \alpha(r) \in V_N \text{ et } \beta(r) \in V_T V_N U V_T$
- A grammar is said to be of type 2 (context-free, algebraic) if and only if:

$$- \forall r \in R \alpha(r) \in V_N$$

Different types of grammars

- A grammar is said to be of type 1 (context-sensitive, or monotonic) when it introduces a first restriction on the form of the rules, by requiring that the right-hand side of each production be necessarily longer than the left-hand side.
- Formally:
$$- \forall r \in R \quad |\alpha(r)| \leq |\beta(r)|$$
- A grammar with no restrictions on the rules is said to be of type 0.
- Note: – Usual programming languages generally have a type 2 grammar.

Type ?

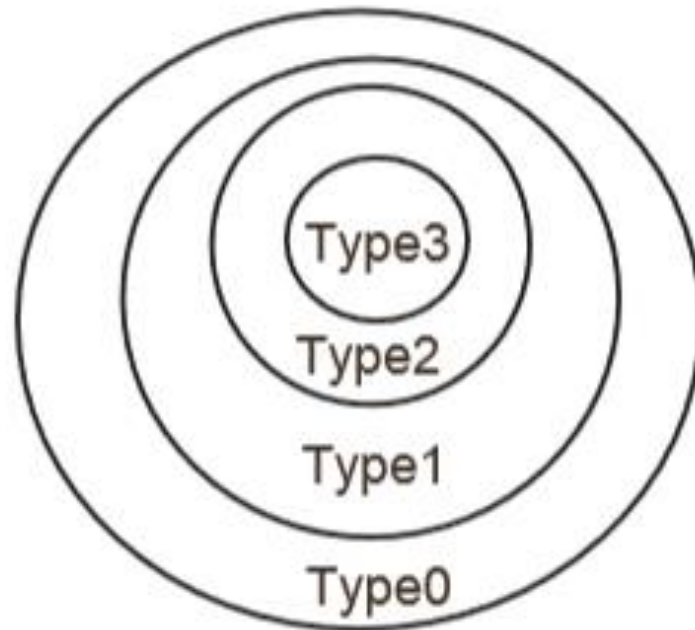
$S \rightarrow AB \mid CA$
 $A \rightarrow a \mid b \mid \varepsilon$
 $B \rightarrow BC \mid DB$
 $C \rightarrow E \mid \varepsilon$
 $D \rightarrow a \mid d$
 $E \rightarrow aB \mid c \mid d \mid \varepsilon$

1. $G_1 \langle \{a,b\}, \{S,A,B\}, P, S \rangle$
où $P : \{ S \rightarrow AS \mid bB$
 $A \rightarrow a / \varepsilon$
 $B \rightarrow aB \mid a / \varepsilon \}$

2. $G_2 \langle \{a,b,c\}, \{S,A,B,C\}, P, S \rangle$
où $P :$
 $S \rightarrow aSSB \mid ASC \mid a,$
 $A \rightarrow AAB \mid B \mid C,$
 $B \rightarrow a \mid \varepsilon,$
 $C \rightarrow AC \mid CB \}$

Different types of grammars

- There is an inclusion relation between the types of grammars according to the following figure:



Type d'un langage

- The type selected for a grammar is the smallest one that satisfies the conditions.
- To determine the class of a language, the following procedure is followed:
 - Look for a type 3 grammar that generates it; if it exists, the language is of type 3 (or regular).
 - If not, look for a type 2 grammar that generates it; if it exists, the language is of type 2 (or algebraic).
 - If not, look for a type 1 grammar that generates it; if it exists, the language is of type 1 (or context-sensitive).
 - Otherwise, the language is of type 0.

Exercices

- Give, without proof, the languages generated by the following grammars. State, each time, which type the grammar is:
 - $G = (\{a\}, \{S\}, S, \{S \rightarrow abS \mid b\})$;
 - $G = (\{a\}, \{S\}, S, \{S \rightarrow aSa \mid \epsilon\})$;
 - $G = (\{a\}, \{S\}, S, \{S \rightarrow aSb \mid \epsilon\})$;
 - $G = (\{a,b\}, \{S\}, S, \{S \rightarrow aSa \mid bSb \mid \epsilon\})$;
- Give the grammars that generate the following languages:
 - Binary numbers;
 - Words over $\{a, b\}$ that contain the factor 'aa'.