

# **Deep learning**

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# **CHAPTER 8**

## **CONVOLUTIONAL NEURAL NETWORKS (CNNS)**

### **“FOUNDATIONS OF CNN”**

# Computer Vision Problems

Image Classification

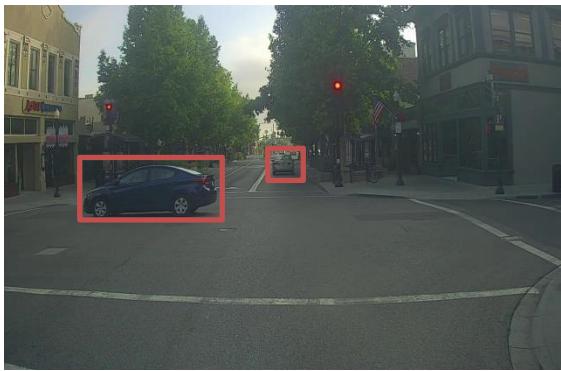


→ Cat? (0/1)

Neural Style Transfer



Object detection



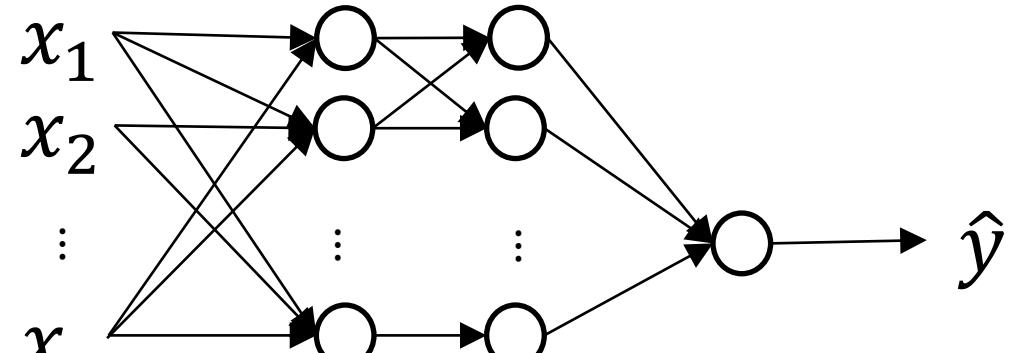
# Deep Learning on large images

Image Classification



→ Cat? (0/1)

64x64x3

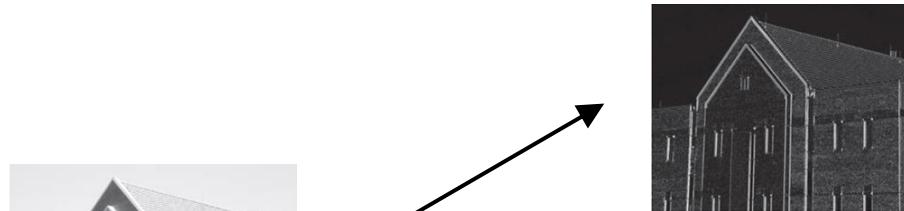
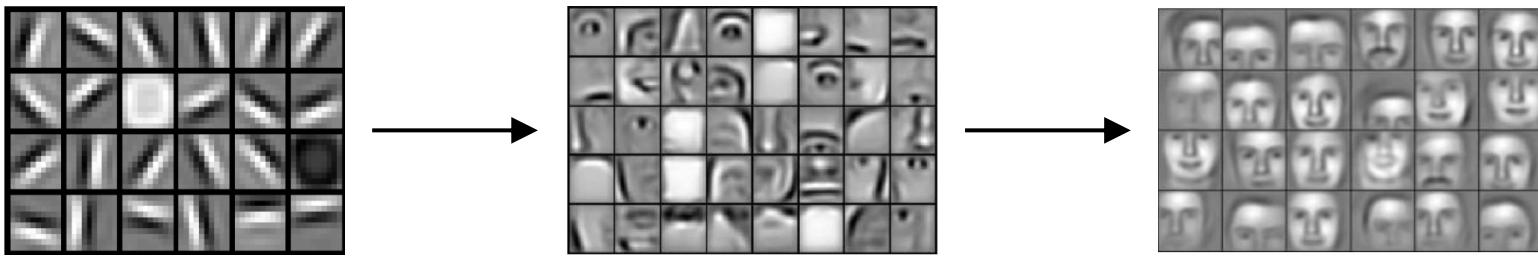


3M            1000

⇒ 3B parameters!

$1000 \times 1000 \times 3 = 3M$

# Computer Vision Problem



vertical edges

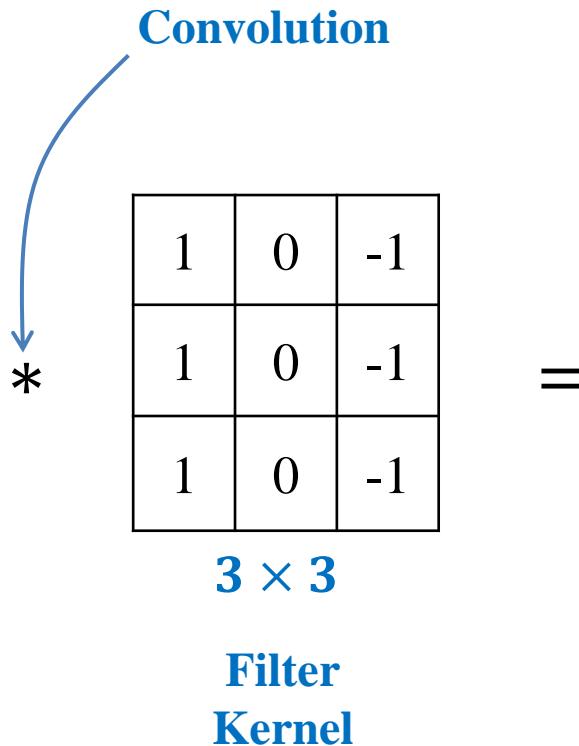


horizontal edges

# Vertical edge detection

3	1	0	0	1	-1	2	-1	7	-1	4	-1
1	1	5	0	8	-1	9	-1	3	-1	1	-1
2	1	7	0	2	-1	5	-1	1	-1	3	-1
0	1	1	0	3	-1	1	-1	7	-1	8	-1
4	2	1	6	2	2	8					
2	4	5	2	3	3	9					

**$6 \times 6$**



=

-5	-4	0	8
-10	-2	2	3
0	-2	-4	-7
-3	-2	-3	-16

**$4 \times 4$**

# Vertical edge detection

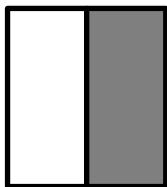
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0

\*

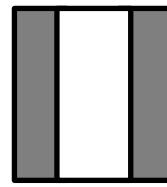
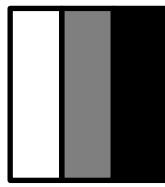
1	0	-1
1	0	-1
1	0	-1

=

0	30	30	0
0	30	30	0
0	30	30	0
0	30	30	0



\*



# Vertical edge detection examples

10	10	10	0	0	0	0
10	10	10	0	0	0	0
10	10	10	0	0	0	0
10	10	10	0	0	0	0
10	10	10	0	0	0	0
10	10	10	0	0	0	0
10	10	10	0	0	0	0

\*

1	0	-1
1	0	-1
1	0	-1



=

0	30	30	0
0	30	30	0
0	30	30	0
0	30	30	0



0	0	0	10	10	10	10
0	0	0	10	10	10	10
0	0	0	10	10	10	10
0	0	0	10	10	10	10
0	0	0	10	10	10	10
0	0	0	10	10	10	10
0	0	0	10	10	10	10

\*

1	0	-1
1	0	-1
1	0	-1



=

0	-30	-30	0
0	-30	-30	0
0	-30	-30	0
0	-30	-30	0



# Vertical and Horizontal Edge Detection

1	0	-1
1	0	-1
1	0	-1

Vertical

1	1	1
0	0	0
-1	-1	-1

Horizontal

10	10	10	0	0	0
10	10	10	0	0	0
10	10	10	0	0	0
0	0	0	10	10	10
0	0	0	10	10	10
0	0	0	10	10	10

\*

1	1	1
0	0	0
-1	-1	-1

=

0	0	0	0
30	10	-10	-30
30	10	-10	-30
0	0	0	0

# Learning to detect edges

1	0	-1
1	0	-1
1	0	-1

1	0	-1
2	0	-2
1	0	-1

3	0	-3
10	0	-10
3	0	-3

Sobel filter

Scharr filter

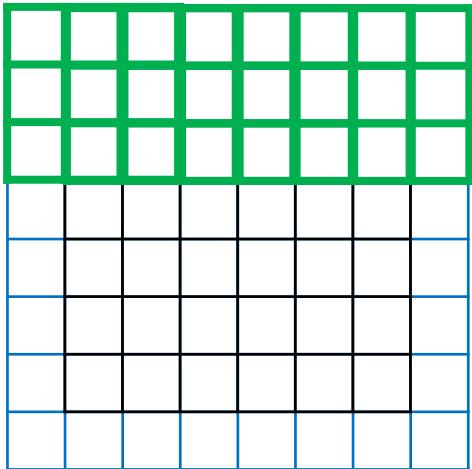
3	0	1	2	7	4
1	5	8	9	3	1
2	7	2	5	1	3
0	1	3	1	7	8
4	2	1	6	2	8
2	4	5	2	3	9

\*

$w_1$	$w_2$	$w_3$
$w_4$	$w_5$	$w_6$
$w_7$	$w_8$	$w_9$

=

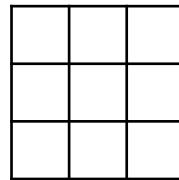

# Padding



$n \times n$

$p = \text{padding} = 1$

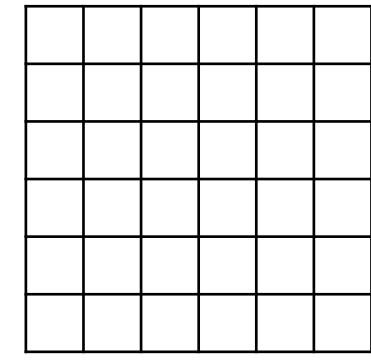
\*



$3 \times 3$

$f \times f$

=



$6 \times 6$

with padding:

$$(n + 2p - f + 1) \times (n + 2p - f + 1)$$

$$(6 + 2 - 3 + 1) \times (6 + 2 - 3 + 1)$$

$$= 6 \times 6$$

# Valid and Same convolutions

“Valid” : No padding

$$n \times n * f \times f \rightarrow (n - f + 1) \times (n - f + 1)$$

$$6 \times 6 * 3 \times 3 \rightarrow 4 \times 4$$

“Same”: Pad so that output size is the same as the input size.

$$(n + 2p - f + 1) \times (n + 2p - f + 1)$$

$$p = \frac{f - 1}{2}$$

$f$  is usually odd

$$3 \times 3 \Rightarrow p = 1$$

$$5 \times 5 \Rightarrow p = 2$$

$$7 \times 7 \Rightarrow p = 3$$

⋮

# Strided convolution

2	3	3	4	7	3	4	4	6	3	2	4	9	4
6	1	6	0	9	1	8	0	7	1	4	0	3	2
3	-3	4	4	8	3	3	4	8	3	9	4	7	4
7	1	8	0	3	1	6	0	6	1	3	0	4	2
4	-3	2	4	1	-3	8	4	3	-3	4	4	6	4
3	1	2	0	4	1	1	0	9	1	8	0	3	2
0	-1	1	0	3	-1	9	0	2	-1	1	0	4	3

$7 \times 7$

$n \times n$       \*      Stride s  
 Padding p            $s = 2$

$$[\mathbf{z}] = \text{floor}(\mathbf{z})$$

$$\begin{array}{c} * \\ \begin{array}{|c|c|c|} \hline 3 & 4 & 4 \\ \hline 1 & 0 & 2 \\ \hline -1 & 0 & 3 \\ \hline \end{array} \end{array} = \begin{array}{|c|c|c|} \hline 91 & 100 & 83 \\ \hline 69 & 91 & 127 \\ \hline 44 & 72 & 74 \\ \hline \end{array}$$

$3 \times 3$        $3 \times 3$

$$\left\lceil \frac{n+2p-f}{s} + 1 \right\rceil \times \left\lceil \frac{n+2p-f}{s} + 1 \right\rceil$$

$$\frac{7+0-3}{2} + 1 = \frac{4}{2} + 1 = 3$$

# Summary of convolutions

$n \times n$  image       $f \times f$  filter

padding  $p$       stride  $s$

Output size:

$$\left\lfloor \frac{n+2p-f}{s} + 1 \right\rfloor \quad \times \quad \left\lfloor \frac{n+2p-f}{s} + 1 \right\rfloor$$

# Technical note on cross-correlation vs. convolution

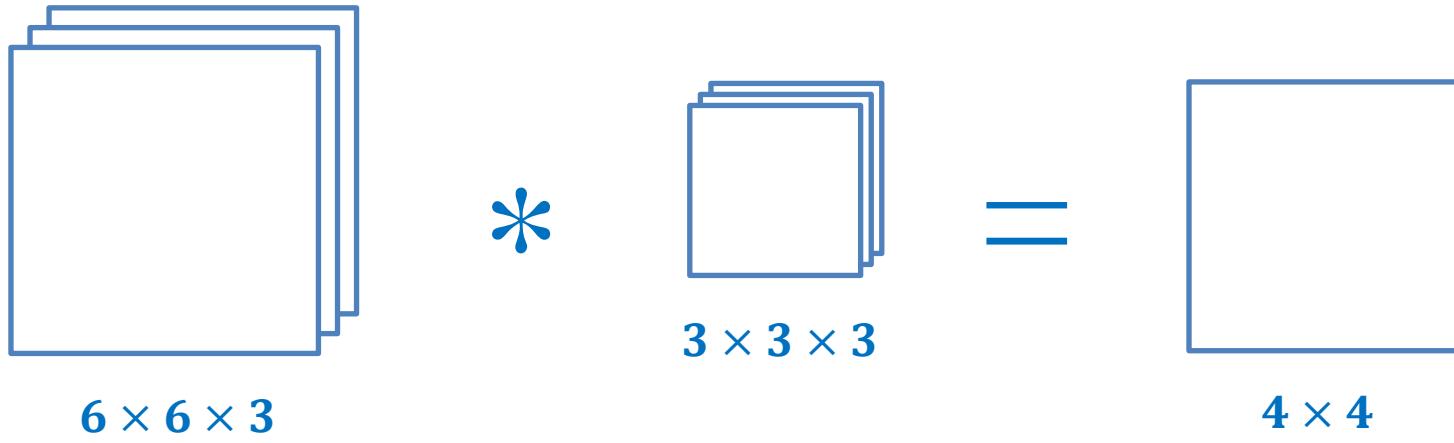
Convolution in math textbook:

2	3	7	4	6	2
6	6	9	8	7	4
3	4	8	3	8	9
7	8	3	6	6	3
4	2	1	8	3	4
3	2	4	1	9	8

$$\begin{matrix} & \begin{matrix} 3 & 4 & 5 \\ 1 & 0 & 2 \\ -1 & 9 & 7 \end{matrix} \\ * & \downarrow \\ \begin{matrix} 7 & 2 & 5 \\ 9 & 0 & 4 \\ -1 & 1 & 3 \end{matrix} \end{matrix} = \begin{matrix} & \begin{matrix} & & \\ & & \\ & & \end{matrix} \\ & \downarrow \\ \begin{matrix} & & \\ & & \\ & & \end{matrix} \end{matrix}$$

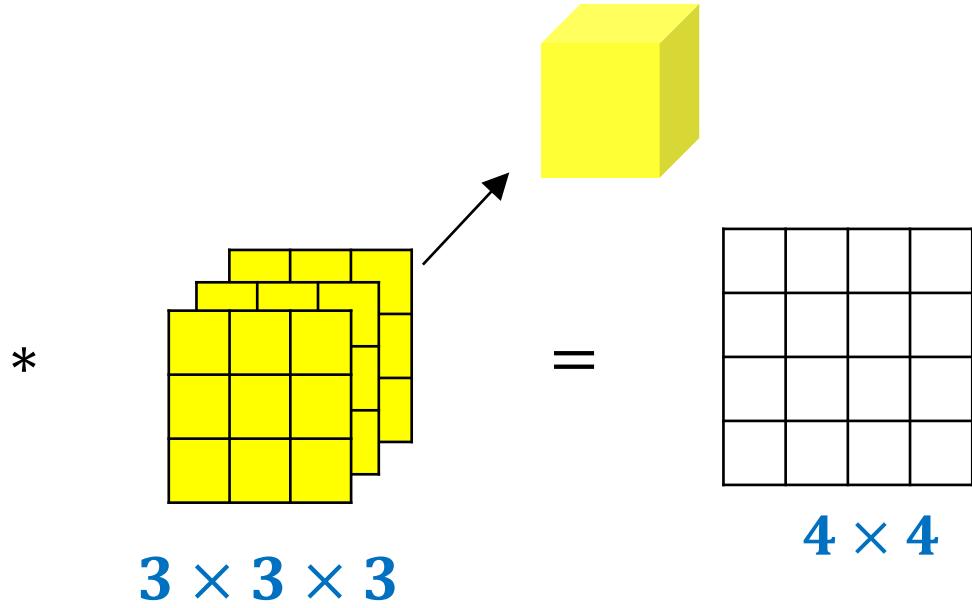
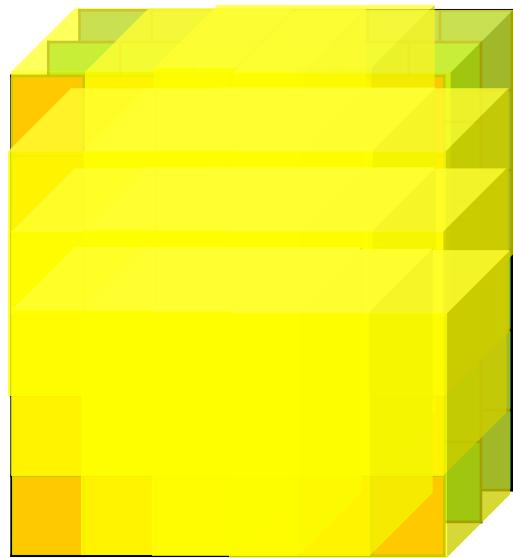
Associativity:  
 $(A * B) * C = A * (B * C)$

# Convolutions on RGB images

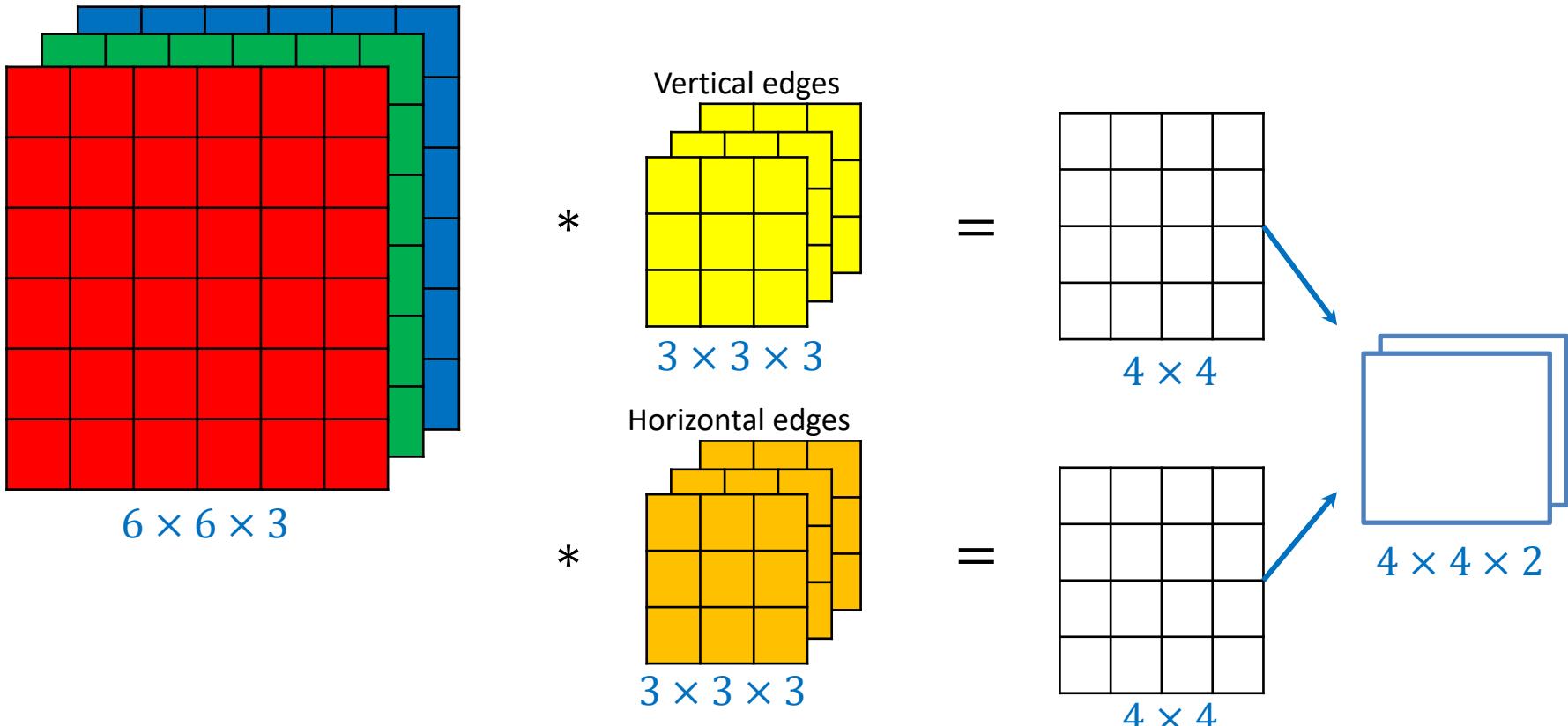


Height  $\times$  Width  $\times$  #channels

# Convolutions on RGB image

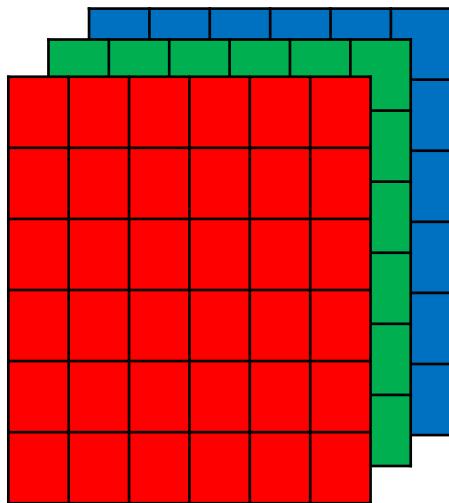


# Multiple filters



Summary:  $n \times n \times nc * f \times f \times nc \rightarrow (n - f + 1) \times (n - f + 1) \times nc$  ↗  
 $6 \times 6 \times 3 * 3 \times 3 \times 3 \rightarrow 4 \times 4 \times 2$  # filters

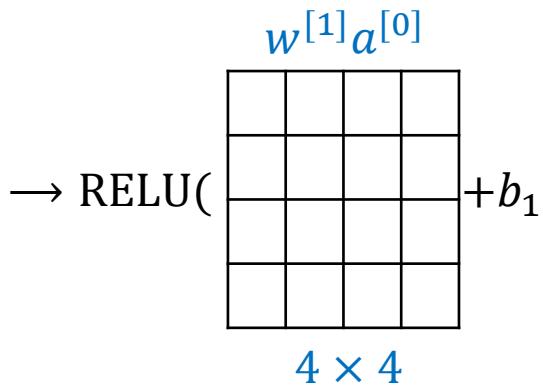
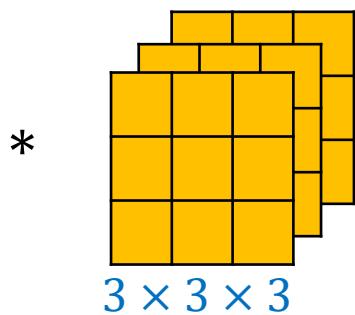
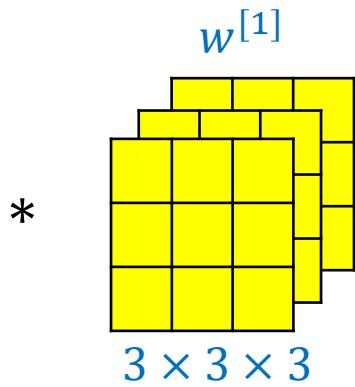
# Example of a layer



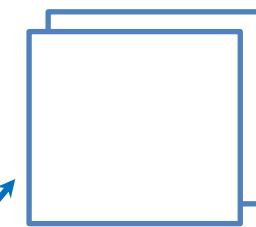
$a^{[0]}$

$$z^{[1]} = w^{[1]}a^{[0]} + b^{[1]}$$

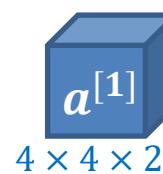
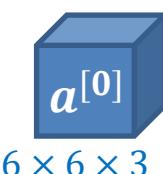
$$a^{[1]} = g(z^{[1]})$$



$$+b_1)$$

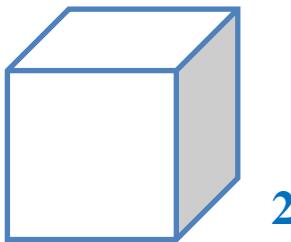
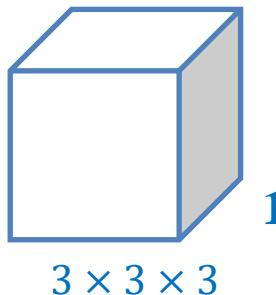


$a^{[1]}$

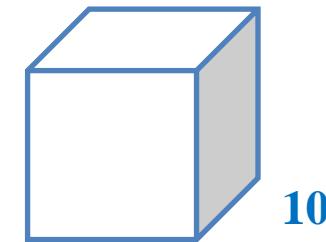


# Number of parameters in one layer

- If you have **10 filters** that are  $3 \times 3 \times 3$  in one layer of a neural network, how many **parameters** does that layer have?



...



27 parameters + 1 bias  
=> **28 parameters**

**280 parameters**

# Summary of notation

If layer  $l$  is a convolution layer:

$f^{[l]}$  = filter size

$p^{[l]}$  = padding

$s^{[l]}$  = stride

$n_c^{[l]}$  = number of filters

Each filter is :  $f^{[l]} \times f^{[l]} \times n_c^{[l-1]}$

Activations :  $a^{[l]} \rightarrow n_H^{[l]} \times n_W^{[l]} \times n_c^{[l]}$

$A^{[l]} \rightarrow m \times n_H^{[l]} \times n_W^{[l]} \times n_c^{[l]}$

Weights :  $f^{[l]} \times f^{[l]} \times n_c^{[l-1]} \times n_c^{[l]}$

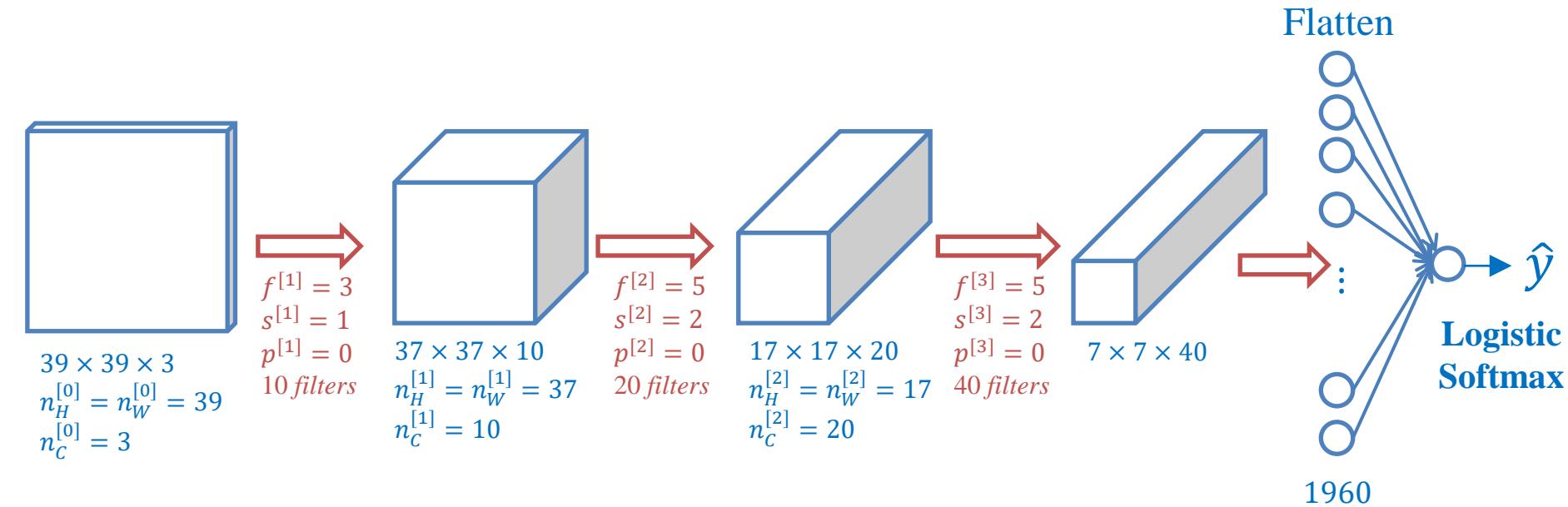
Bias :  $n_c^{[l]}$

**Input:**  $n_H^{[l-1]} \times n_W^{[l-1]} \times n_c^{[l-1]}$

**Output:**  $n_H^{[l]} \times n_W^{[l]} \times n_c^{[l]}$

$$n_H^{[l]} = \left\lfloor \frac{n_H^{[l-1]} + 2p^{[l]} - f^{[l]}}{s^{[l]}} + 1 \right\rfloor$$

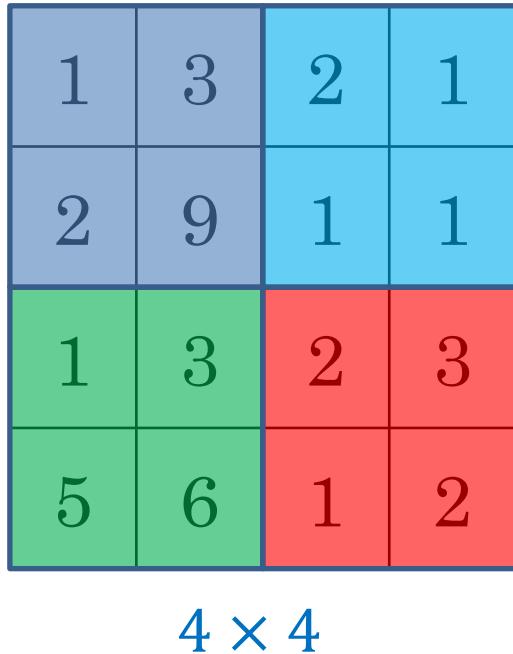
# Example ConvNet



# Types of layer in a convolutional network

- Convolution (CONV)
- Pooling (POOL)
- Fully connected (FC)

# Pooling layer: Max pooling



Hyperparameters:

$$f = 2$$
$$s = 2$$

# Pooling layer: Max pooling

1	3	2	1	3
2	9		1	5
1				2
8	3		1	0
5	6	1	2	9

$5 \times 5 \times 2$



9	9	5
9	9	5
8	6	9

$3 \times 3 \times 2$

$$\left\lfloor \frac{n - f}{s} + 1 \right\rfloor$$

Hyperparameters:  
 $f = 3$   
 $s = 1$

# Pooling layer: Average pooling

1	3	2	1
2	9	1	1
1	4	2	3
5	6	1	2



3.75	1.25
4	2

$$\left\lfloor \frac{n - f}{s} + 1 \right\rfloor$$

# Summary of pooling

Hyperparameters:

f : filter size

s : stride

Max or average pooling

$$n_H \times n_W \times n_C$$



$$\left\lfloor \frac{n_H - f}{s} + 1 \right\rfloor \times \left\lfloor \frac{n_W - f}{s} + 1 \right\rfloor \times n_C$$

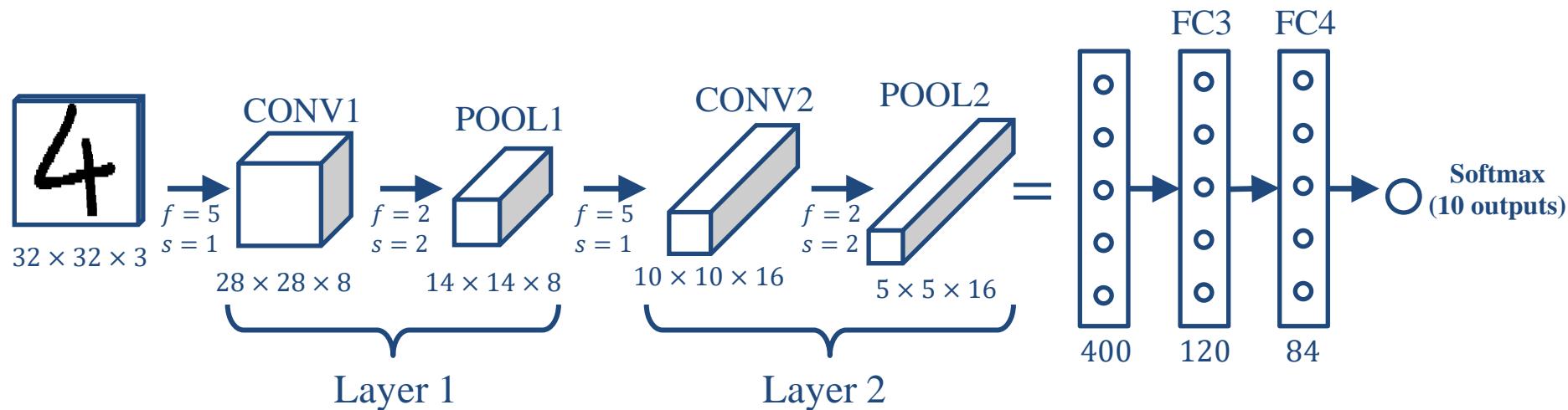
No parameters to learn!

# Neural network example

$$g_k(\tilde{x}) = \frac{\exp(-\tilde{\mathbf{w}}_k^T \tilde{x})}{\sum_j \exp(-\tilde{\mathbf{w}}_j^T \tilde{x})}$$

$$g_k(\tilde{x}) \in [0,1]$$

(LeNet-5)



CONV-POOL-CONV-POOL-FC-FC-SOFTMAX

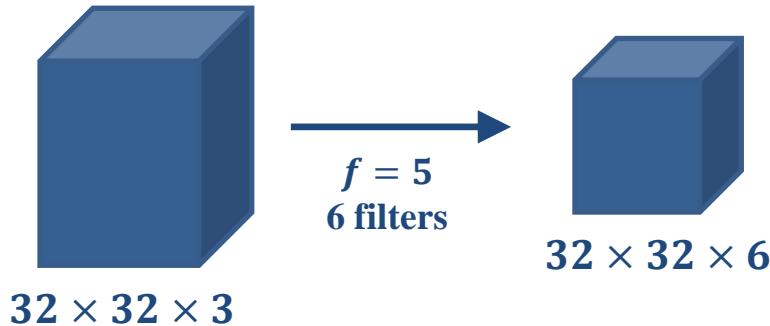
# Neural network example

	<b>Activation shape</b>	<b>Activation Size</b>	<b># parameters</b>
Input:	(32,32,3)	3,072	0

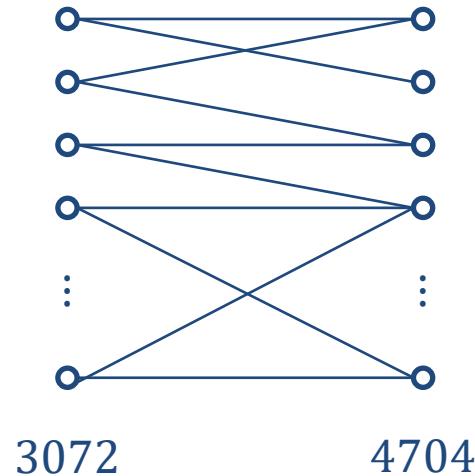
# Neural network example

	<b>Activation shape</b>	<b>Activation Size</b>	<b># parameters</b>
Input:	(32,32,3)	3,072	0
CONV1 (f=5, s=1)	(28,28,8)	6,272	608
POOL1	(14,14,8)	1,568	0
CONV2 (f=5, s=1)	(10,10,16)	1,600	3216
POOL2	(5,5,16)	400	0
FC3	(120,1)	120	48,120
FC4	(84,1)	84	10,164
Softmax	(10,1)	10	850

# Why convolutions



$$\begin{aligned}5 \times 5 &= 25 + 1 \\&= 26 \text{ parameters per filter} \\6 \times 26 &= 156 \text{ parameters}\end{aligned}$$



$$3072 \times 4704 \approx 14M$$

# Why convolutions

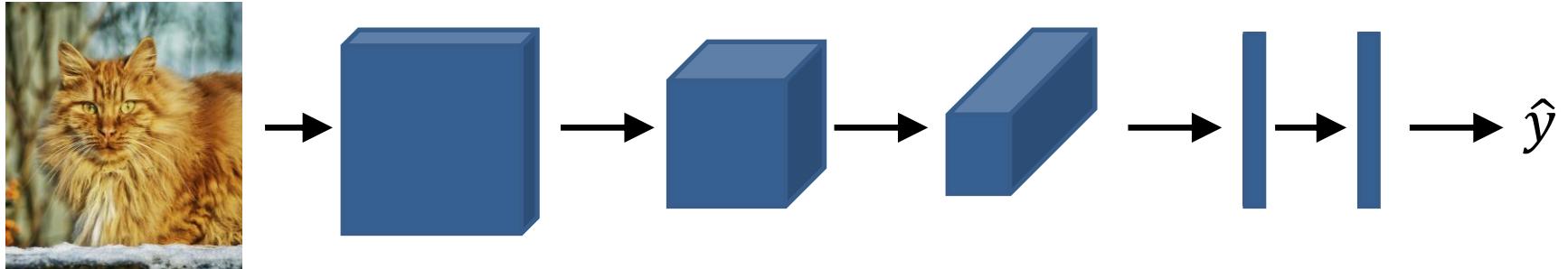
$$\begin{array}{|c|c|c|c|c|c|} \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline 10 & 10 & 10 & 0 & 0 & 0 \\ \hline \end{array} * \begin{array}{|c|c|c|} \hline 1 & 0 & -1 \\ \hline 1 & 0 & -1 \\ \hline 1 & 0 & -1 \\ \hline \end{array} = \begin{array}{|c|c|c|c|} \hline 0 & 30 & 30 & 0 \\ \hline 0 & 30 & 30 & 0 \\ \hline 0 & 30 & 30 & 0 \\ \hline 0 & 30 & 30 & 0 \\ \hline \end{array}$$

**Parameter sharing:** A feature detector (such as a vertical edge detector) that's useful in one part of the image is probably useful in another part of the image.

**Sparsity of connections:** In each layer, each output value depends only on a small number of inputs.

# Putting it together

Training set  $(x^{(1)}, y^{(1)}) \dots (x^{(m)}, y^{(m)})$ .



$$\text{Cost } J = \frac{1}{m} \sum_{i=1}^m \mathcal{L}(\hat{y}^{(i)}, y^{(i)})$$

Use gradient descent to optimize parameters to reduce  $J$

# References

- Andrew Ng. Deep learning. Coursera.
- Geoffrey Hinton. Neural Networks for Machine Learning.
- Kevin P. Murphy. Probabilistic Machine Learning An Introduction. MIT Press, 2022.
- MIT Deep Learning 6.S191 (<http://introtodeeplearning.com/>)